

# AlloNK® Cell Therapy ± Rituximab in Patients with Relapsed or Refractory Non-Hodgkin Lymphoma

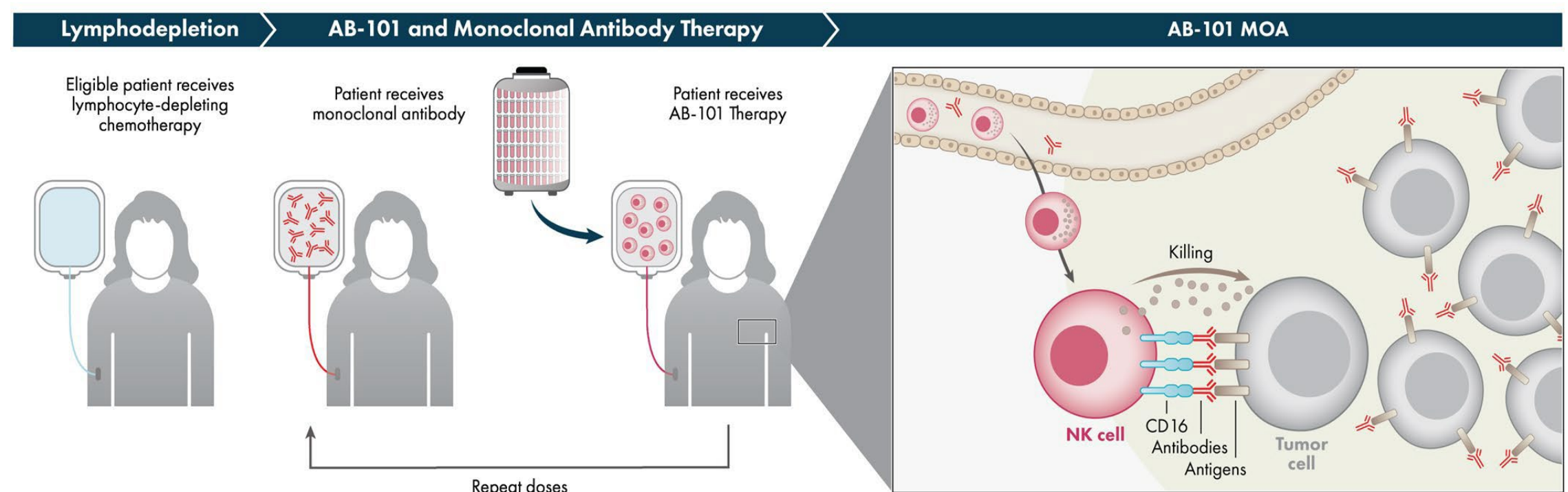
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## Introduction

AlloNK® (also referred to as AB-101) is a non-genetically modified, allogeneic, off-the-shelf, cryopreserved natural killer (NK) cell therapy candidate in development for the treatment of cancer and autoimmune diseases. AlloNK has been optimized for combination with monoclonal antibodies (mAbs) to enhance antibody-dependent cellular cytotoxicity (ADCC) and anti-tumor responses through selection of cord blood units (CBUs) with the natural high-affinity variant of CD16 (158V/V polymorphism)<sup>1</sup> to enhance ADCC via combination with mAbs and a killer cell immunoglobulin-like receptor (KIR)-B haplotype<sup>2</sup> for enhanced innate activity in the allogeneic setting.

To date, the therapeutic potential of multi-dose NK-cell/mAb combinations has not been fully assessed due to the limited scale of cell production. AlloNK is a highly scaled product candidate that is being investigated in the outpatient setting, without the requirements for hospitalization or prolonged safety observations compared to genetically modified cell therapies.



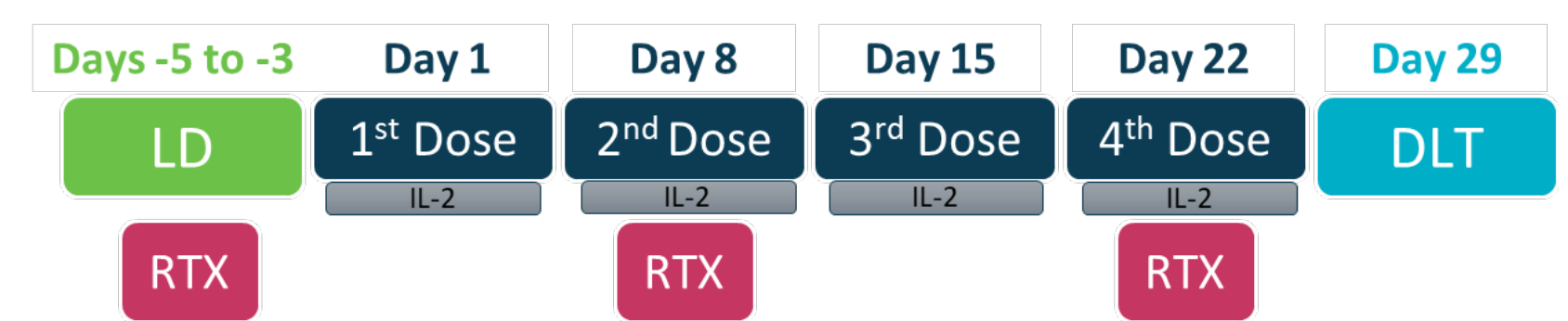
**AlloNK Mechanism of Action**– AlloNK enhances a patient’s ADCC when undergoing mAb therapy for either hematological or autoimmune indications.<sup>3</sup>

## Study Design

AB-101-01 (NCT04673617) was a US multi-center, first in human study to investigate the safety, tolerability, and anti-tumor activity with AlloNK ± Rituximab in advanced B-cell malignancies.

- Key Inclusion Criteria**
- r/r CD20+ B-cell malignancies
  - Received ≥2 prior lines of therapy
  - ECOG PS 0 or 1
  - Prior CAR T permitted
  - No prior allo TX or CNS involvement
- Endpoints:**
- Safety and tolerability
  - PK/PD
  - Anti-tumor activity

- Lymphodepletion**
- Fludarabine 30mg/m<sup>2</sup>
  - Cyclophosphamide 250 or 500 mg/m<sup>2</sup>
  - Cycles 1 and 2 only
- AlloNK**
- 4 doses per 28-day cycle
  - 1 billion (B) or 4B cells per dose (dose finding)
- Rituximab (combo)**
- 375mg/m<sup>2</sup> per dose
  - Cycle 1 and Cycle 2: 3 doses
  - Cycle 3 and Cycle 4 : 2 doses



**Monotherapy:** Limited to one treatment cycle to assess safety, tolerability and PK without the co-administration of a mAb.  
**Combination:** Up to 4 treatment cycles in the absence of toxicity or progressive disease. IL-2 was not used in the Diffuse Large B-Cell Lymphoma (DLBCL) cohort.

All AlloNK administrations (up to 16) were conducted in the outpatient setting.

## Demographics & Baseline Characteristics

|                               | Monotherapy N=16 | Combo All N=29 | Combo CAR T naïve N=14 |
|-------------------------------|------------------|----------------|------------------------|
| <b>Age</b>                    |                  |                |                        |
| Median (range)                | 64.5 (29, 80)    | 71 (46, 86)    | 71 (53, 86)            |
| ≥75y, n (%)                   | 3 (19)           | 6 (21)         | 4 (29)                 |
| <b>Gender</b>                 |                  |                |                        |
| Male, n (%)                   | 12 (75)          | 16 (55)        | 7 (50)                 |
| <b>Race, n (%)</b>            |                  |                |                        |
| White                         | 12 (75)          | 25 (86)        | 13 (93)                |
| <b>ECOG PS 1, n (%)</b>       | 9 (56)           | 17 (59)        | 5 (36)                 |
| <b>Cancer Types</b>           |                  |                |                        |
| DLBCL                         | 6 (38)           | 23 (79)        | 11 (79)                |
| Other aggressive NHL subtypes | 5 (31)           | 4 (14)         | 2 (14)                 |
| Indolent NHL subtypes         | 5 (31)           | 2 (6)          | 1 (7)                  |

|                                                  | Monotherapy N=16 | Combo All N=29 | Combo CAR T naïve N=14 |
|--------------------------------------------------|------------------|----------------|------------------------|
| <b>Baseline LDH, n (%)</b>                       |                  |                |                        |
| > 200 U/L (ULN)                                  | 6 (43)           | 14 (58)        | 7 (58)                 |
| <b>Prior lines of systemic therapy</b>           |                  |                |                        |
| Median (min, max)                                | 4 (2, 9)         | 3 (1, 7)       | 3 (1, 5)               |
| <b>Refractory to last therapy &lt;6mo, n (%)</b> | 13 (81)          | 17 (59)        | 8 (57)                 |
| <b>Prior Therapies, n (%)</b>                    |                  |                |                        |
| Prior Alkylating agents                          | 16 (100)         | 27 (93)        | 13 (93)                |
| Prior Anthracyclines                             | 11 (69)          | 19 (66)        | 9 (64)                 |
| Prior Platinum agents                            | 8 (50)           | 16 (55)        | 7 (50)                 |
| Prior anti-CD20 agents                           | 16 (100)         | 29 (100)       | 14 (100)               |
| Prior polatuzumab vedotin                        | 3 (19)           | 8 (28)         | 3 (21)                 |
| <b>Prior Cell Therapies, n (%)</b>               | 8 (50)           | 15 (52)        | 0                      |
| <b>Prior ASCT, n (%)</b>                         | 2 (13)           | 7 (24)         | 4 (29)                 |

## Safety & Tolerability

| Treatment-Emergent Adverse Events (TEAEs) | Rituximab Combo (N=29) |                |                  | Overall (N=45) |                |                  |
|-------------------------------------------|------------------------|----------------|------------------|----------------|----------------|------------------|
|                                           | All TEAEs              | Grade 3+ TEAEs | Grade 3+ Related | All TEAEs      | Grade 3+ TEAEs | Grade 3+ Related |
| <b>Lymphopenia</b>                        | 24 (83)                | 24 (83)        | 5 (17)           | 32 (71)        | 32 (71)        | 6 (13)           |
| <b>Neutropenia</b>                        | 26 (90)                | 24 (83)        | 5 (17)           | 38 (84)        | 36 (80)        | 7 (16)           |
| <b>Leukopenia</b>                         | 26 (90)                | 25 (86)        | 5 (17)           | 37 (82)        | 36 (80)        | 7 (16)           |
| <b>Anemia</b>                             | 16 (55)                | 16 (55)        | 4 (14)           | 18 (40)        | 18 (40)        | 4 (9)            |
| <b>Fatigue</b>                            | 15 (52)                | 1 (3)          | 0                | 20 (44)        | 2 (4)          | 0                |
| <b>Thrombocytopenia</b>                   | 11 (38)                | 9 (31)         | 5 (17)           | 13 (29)        | 11 (24)        | 5 (11)           |
| <b>Hypotension</b>                        | 10 (35)                | 1 (3)          | 0                | 12 (27)        | 2 (4)          | 0                |
| <b>Cough</b>                              | 7 (24)                 | 1 (3)          | 0                | 9 (20)         | 1 (2)          | 0                |
| <b>Dyspnea</b>                            | 7 (24)                 | 2 (7)          | 0                | 8 (18)         | 2 (4)          | 0                |
| <b>Hypokalemia</b>                        | 6 (21)                 | 1 (3)          | 0                | 9 (20)         | 2 (4)          | 0                |
| <b>Febrile neutropenia</b>                | 5 (17)                 | 4 (14)         | 2 (7)            | 8 (18)         | 7 (16)         | 2 (4)            |
| <b>Fall</b>                               | 5 (17)                 | 1 (3)          | 0                | 5 (11)         | 1 (2)          | 0                |
| <b>Injection site reaction</b>            | 5 (17)                 | 1 (3)          | 0                | 5 (11)         | 1 (2)          | 0                |
| <b>Infusion related reaction</b>          | 5 (17)                 | 3 (10)         | 3 (10)           | 7 (16)         | 3 (7)          | 3 (7)            |

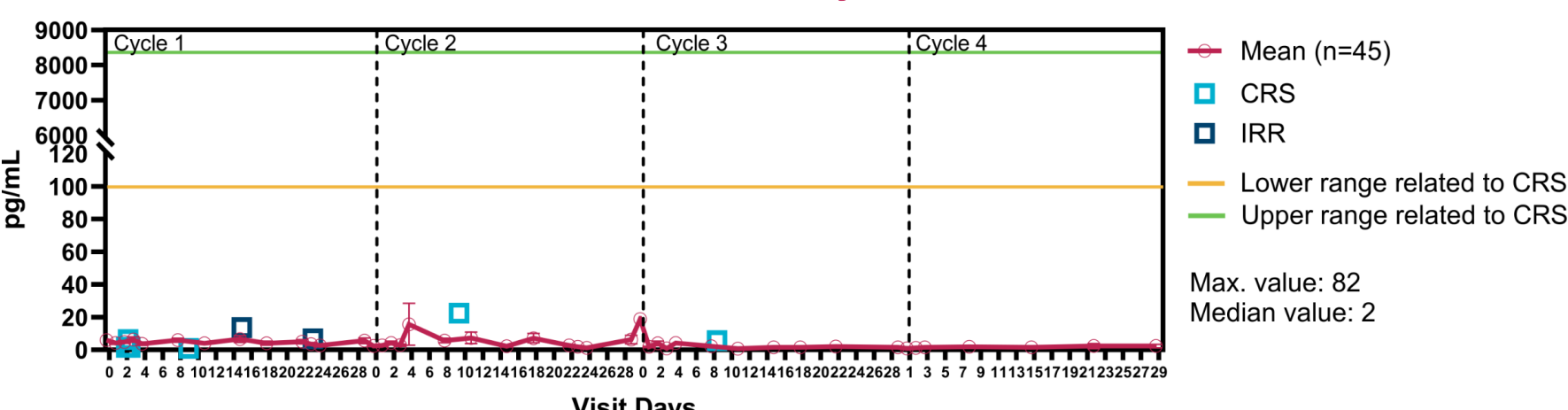
- AlloNK alone or in combination with rituximab was well tolerated.
- Safety profile was obtained in an outpatient treatment setting without prolonged observation periods in the hospital or need for hospitalization.
- No AlloNK associated toxicity patterns identified.
- Main toxicities were hematological in nature and expected with Cy/Flu used in LD.

### Cell Therapy Associated TEAEs

| Cell Therapy associated AEs, n (%)                                    | Combo (N=29) |          | Overall (N=45) |          |
|-----------------------------------------------------------------------|--------------|----------|----------------|----------|
|                                                                       | All          | Grade ≥3 | All            | Grade ≥3 |
| <b>Cytokine release syndrome (CRS)</b>                                | 2 (7)        | 0        | 4 (9)*         | 0        |
| <b>Immune Effector Cell-Associated Neurotoxicity Syndrome (ICANS)</b> | 0            | 0        | 0              | 0        |
| <b>Graft vs Host Disease (GvHD)</b>                                   | 0            | 0        | 0              | 0        |

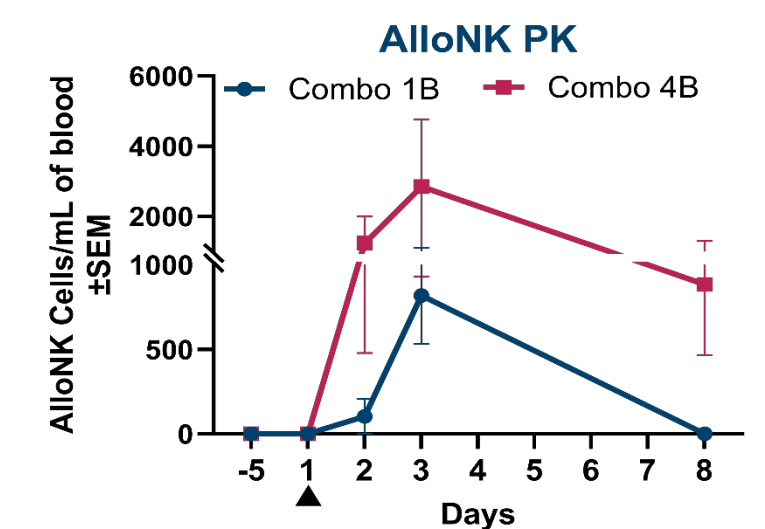
(\* Low grade CRS events were reported in 4 patients, 3 pts experienced Grade 1, and 1 pt experienced Grade 2.

### IL-6 Levels Across All Treatment Cycles



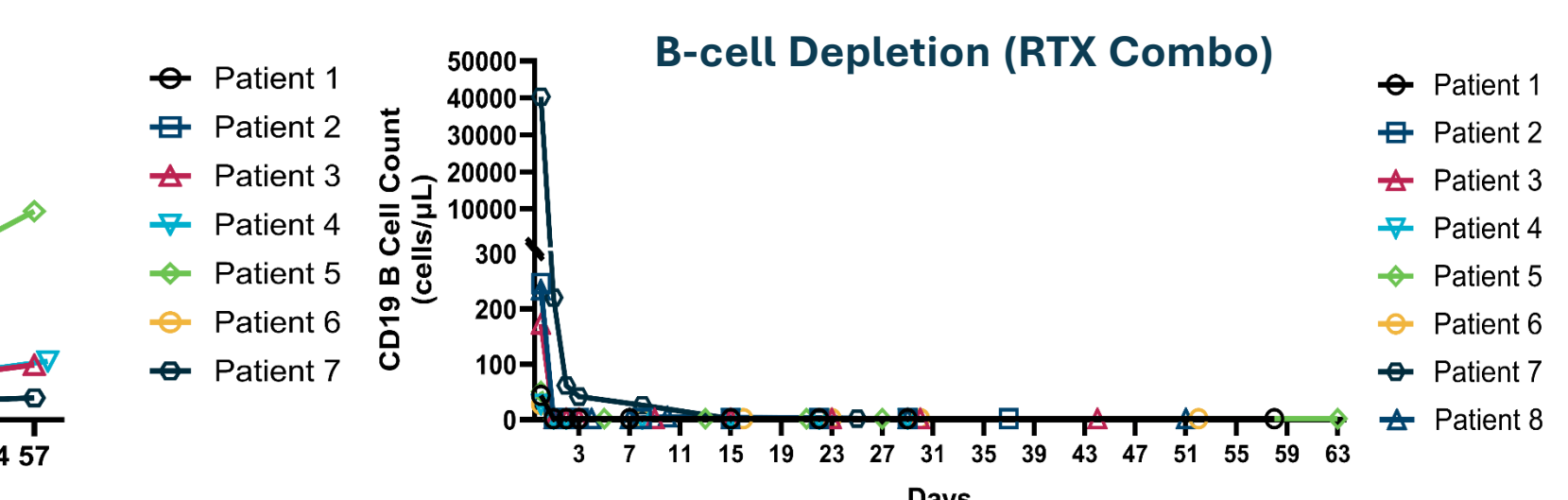
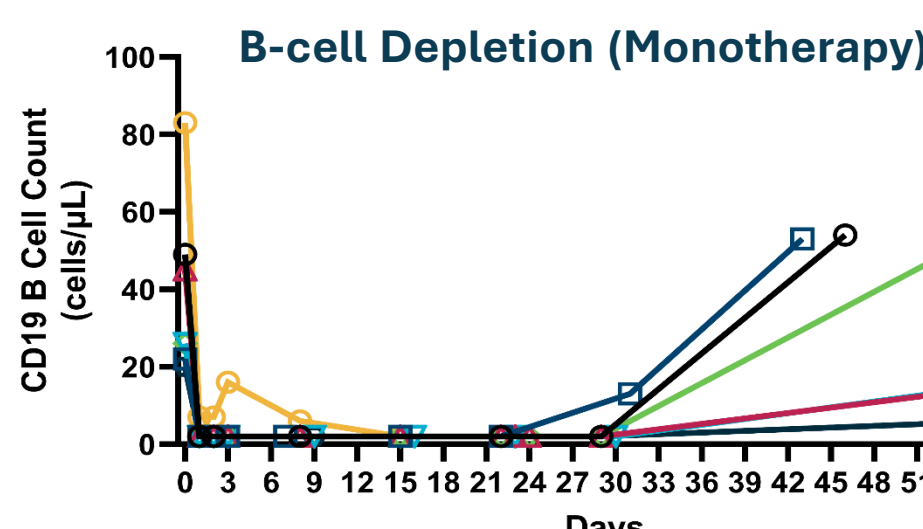
- IL-1β, IL-6, IL-8 and TNF-α did not show increased levels in patients, including patients with reported CRS or IRR events.
- All 4 cases of CRS were re-classified by the sponsor as IRRs due to rapid onset (<24h), nature of clinical symptoms, event resolution, and absence of cytokine increases.

## Pharmacokinetics



- AlloNK levels in patients treated with RTX combo were assessed using an HLA-based flow cytometry assay.
- AlloNK PK was dose-dependent, with AlloNK detectable on Days 2 and 3 at 1B dose (n=3) and through 1 week post-infusion at 4B (n=8) dose. (Data as of April 8, 2025).
- No AlloNK-specific anti-HLA antibodies were observed after AlloNK treatment.

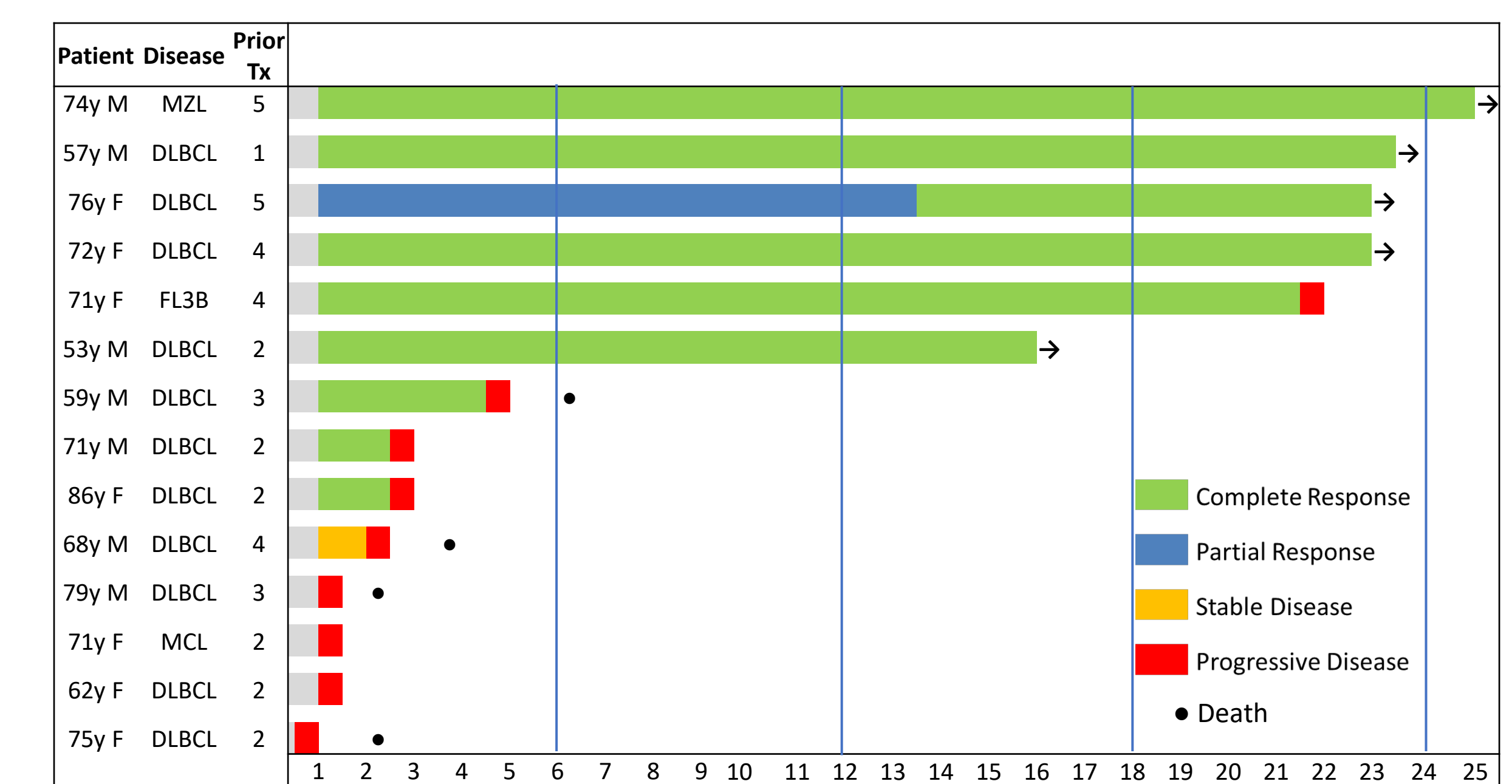
## Pharmacodynamics



- Peripheral B-cell levels in patients treated with the monotherapy (Left) or RTX combo (Right) were assessed by flow cytometry.
- All patients in the monotherapy cohort with detectable B cells (n=7) at baseline demonstrated a decline to non-quantifiable levels of CD19+ B cells by Day 15 and an increase in B cells approximately 4-8 weeks after the initiation of treatment (Data as of May 15, 2024). This was likely due to effects of LD alone on B cells.
- All patients from the RTX combo cohorts with detectable B cells (n=8) at baseline demonstrated a decline to non-quantifiable levels of CD19+ B-cells by Day 15 and remained depleted over the first cycle of treatment (Data as of March 26, 2024).

## Efficacy

### CAR T Naïve Patients who Received AlloNK® Cells in Combination with Rituximab



| Lugano classification                     | Combo CAR T naïve N=14 |
|-------------------------------------------|------------------------|
| <b>ORR</b>                                | 9 (64%)                |
| ORR at 12mo                               | 6 (43%)                |
| ORR at 18mo                               | 5 (36%)                |
| <b>Complete Response (CR)</b>             | 9 (64%)                |
| <b>Partial Response (PR)</b>              | 0                      |
| Median duration of response (DoR, months) | Not reached* (3.9, NR) |
| <b>Overall Survival (months)</b>          | Nor reached (1.01, NR) |

- Response to AlloNK with LD (Monotherapy) resulted in a limited CR rate of 25%.
- AlloNK in combination with RTX and LD was effective at all dose levels and led to durable responses.
- Adding a RTX regimen in combination with AlloNK increased the CR rate to 48%.
- Of note, CAR T naïve patients achieved a CR rate of 64% with this combination.

\*Median DOR not reached, but at least 19.4 months

## Conclusions

- AlloNK alone and in combination with rituximab showed acceptable safety and tolerability, and adverse events were manageable in older and frailer patients with B-cell lymphomas in an outpatient setting, without the need for hospitalization.
- No GvHD, ICANS, study discontinuations, or deaths were reported in this study.
- Reported low grade CRS events (n=3 Grade 1 and n=1 Grade 2) were reclassified to IRR based on clinical presentation and absence of cytokine increases during the event.
- AlloNK, in combination with rituximab, was effective at all dose levels and led to durable responses, particularly in patients who did not receive prior CAR T cell therapy.

### References

- Musolino, A., et al. J Clin Oncol, 2008.
- Cooley, S., et al. Blood, 2009.
- Rogers P, et al. Journal for Immuno Therapy of Cancer 2022.

### Disclaimer

- Poster includes final data for this study with a database lock date of 22OCT2025
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