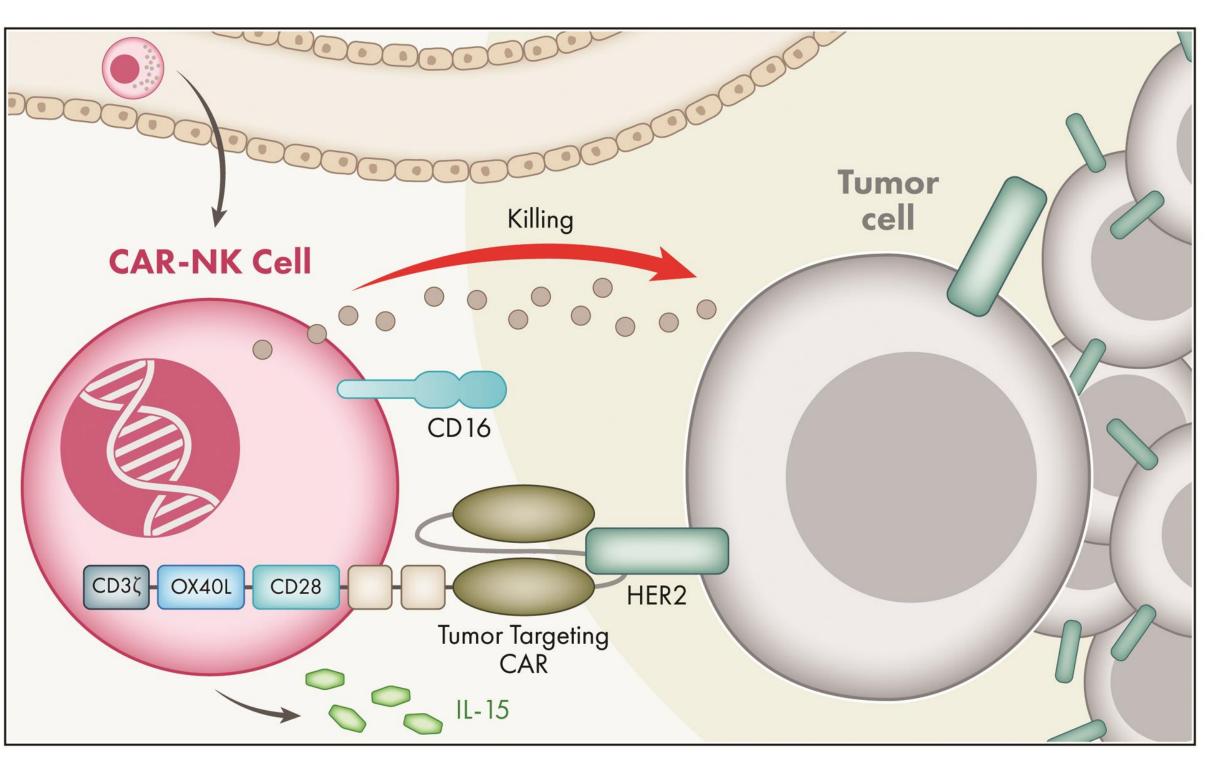
Development of AB-201, a novel allogeneic anti-HER2-specific CAR-NK cell therapy for the treatment of HER2+ tumors Hoyong Lim², Amanda Medcalf¹, Lisa Guerrettaz¹, Eun Ji Choi², Hansol Kim², Eun Ji Kim², Eun Ji Kim², Jeong Min Kim², Yusun Kim², Bokyung Min², Sang-Min Paik², Hyeong Jin Nam², Seungryel Han², Srinivas Somanchi¹, Eugene Helsel¹, Jason Litten¹, Peter Flynn¹, Heather Raymon¹ and Yu-Kyeong Hwang² ¹Artiva Biotherapeutics, San Diego, CA USA ²GC LabCell, Yongin-si, South Korea

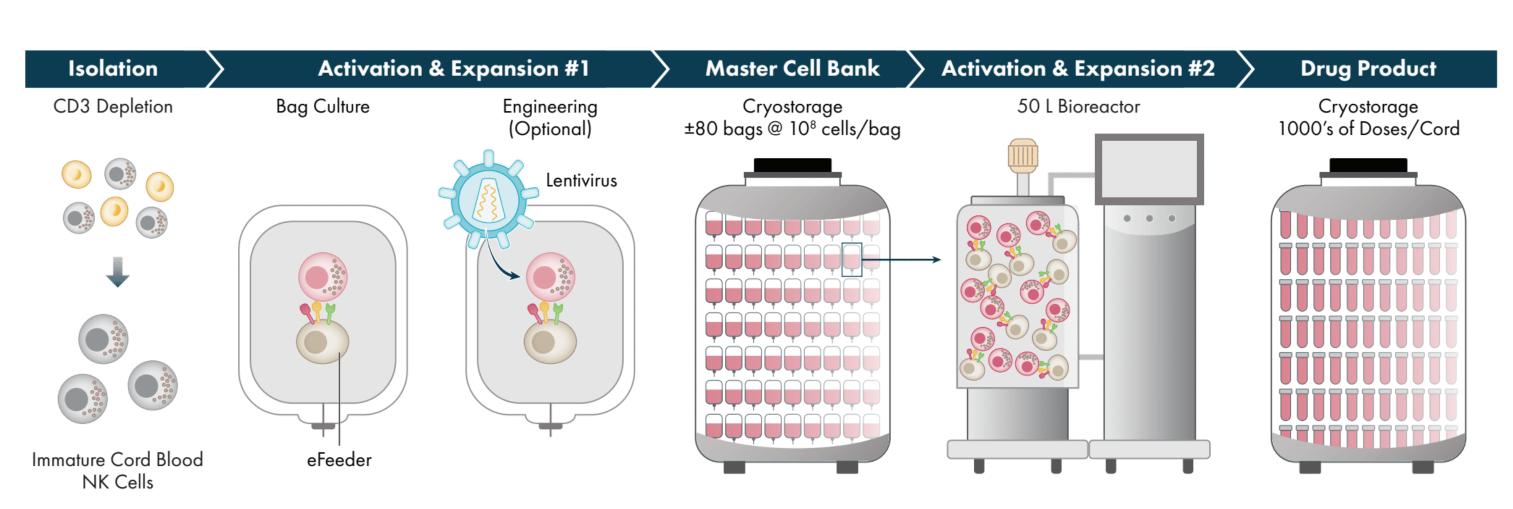
Introduction

Human Epidermal Growth Factor Receptor 2 (HER2) is a receptor tyrosine kinase that is highly expressed on the surface of many solid tumors. While many patients with advanced HER2+ cancers derive meaningful benefit from HER2 targeted therapies, they typically progress beyond approved therapies, and treatment of these patients remains a great unmet medical need. Currently, while there are eight approved HER2 directed therapies, there are no approved cellular therapies targeting HER2¹. Over the past decade, cellular therapy has been shown to be a viable treatment option in different cancer types. Here we present AB-201, an off-the-shelf, cryopreserved cord blood (CB) derived HER2-CAR NK cell therapy with the potential to be an active and readily available option for patients with HER2+ solid tumors.

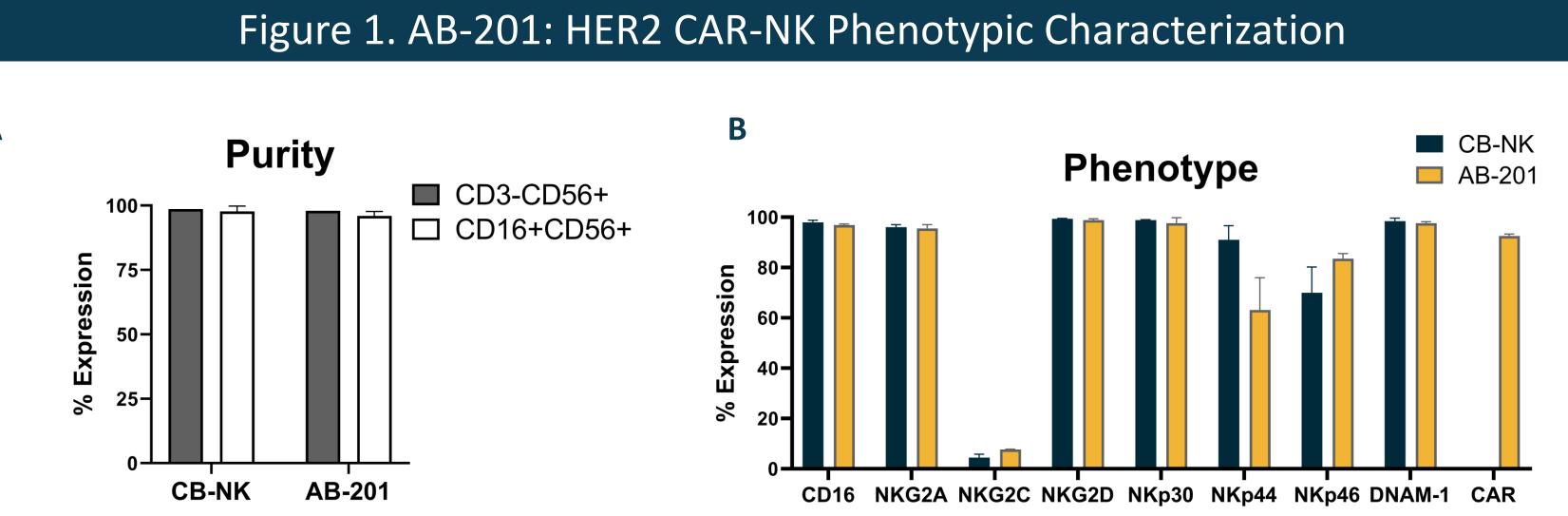


AB-201 (CAR-NK) has a unique HER2-specific targeting domain combined with our proprietary NK-specific co-stimulatory domains. Wild type IL-15 is co-expressed from the CAR lentiviral construct for enhanced NK cell activity and persistence.

Methods

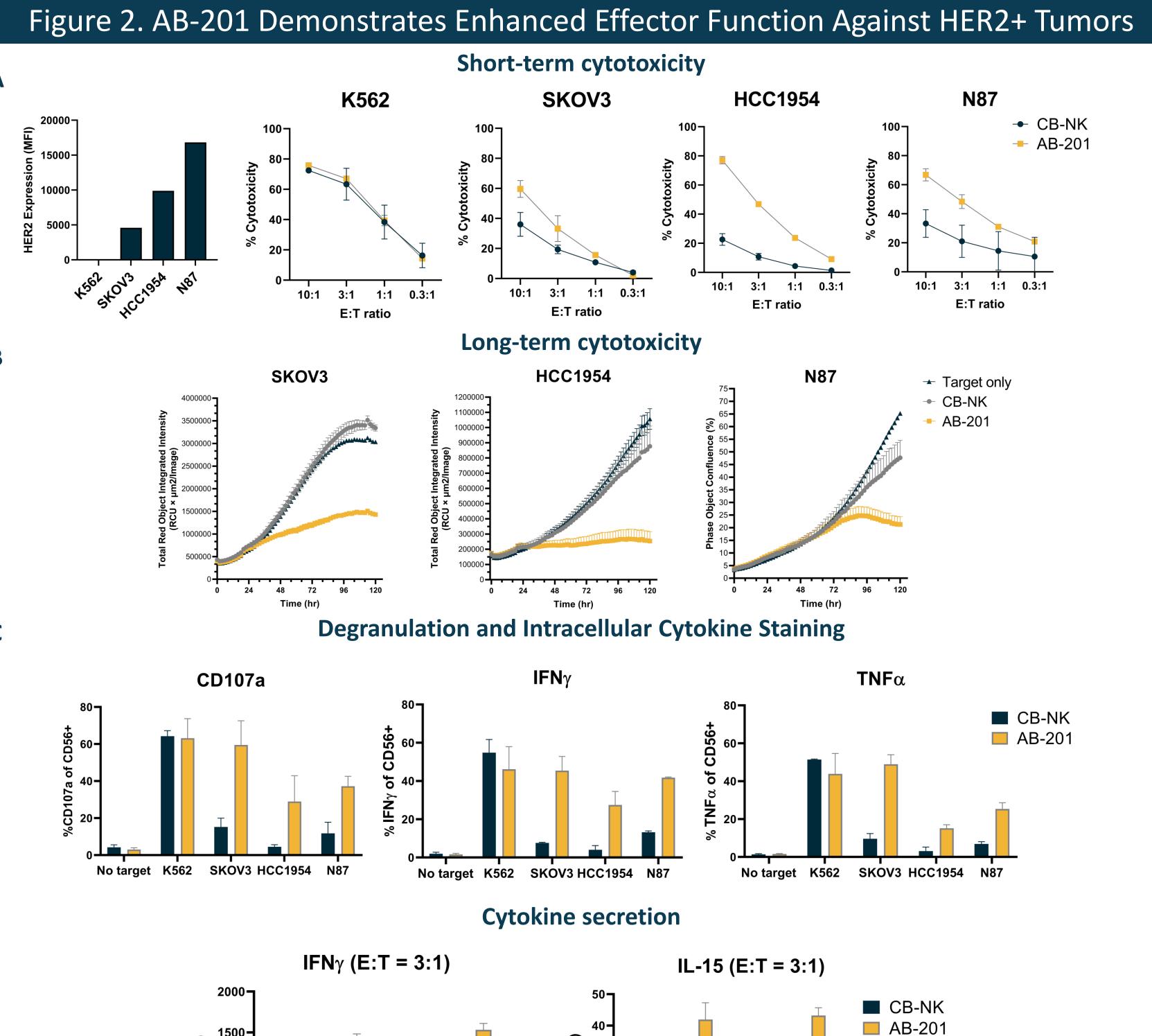


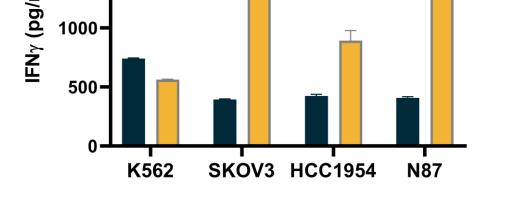
- AB-201 is comprised of *ex vivo* expanded allogeneic CB-derived NK cells that have been genetically modified to express a HER2directed CAR and is a cryopreserved, infusion-ready product
- Manufacturing utilizes a feeder-cell line engineered to express factors specifically identified as supportive to NK cell expansion and a lentiviral transduction step to introduce the HER2 CAR construct
- Manufacturing has the potential to yield 1000s of clinical doses of the CAR-NK product from each CB unit

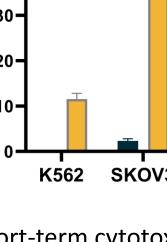


Results

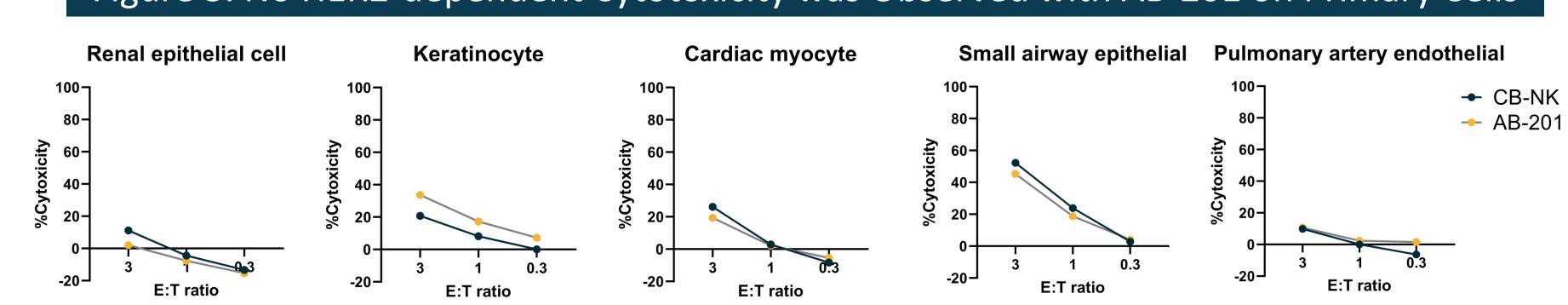
A. Characterization and frequency of NK cells (CD3-CD56+), (CD16+CD56+) in CB-NK and AB-201 B. Frequency of CD16+, NKG2A+, NKG2C+, NKG2D+, NKp30+, NKp44+, NKp46+, DNAM-1 was analyzed on control CB-NK cells and AB-201 gating on CD3-CD56+ cells. Data are plotted as the mean \pm SD







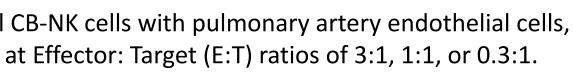
- A. Her2 expression (Mean fluorescence intensity=MFI) on tumor cell lines and short-term cytotoxicity of AB-201 and control CB-NK cells B. Long-term cellular cytotoxicity of AB-201 and CB-NK cells against HER2+ tumor cell lines over 120 hours, E:T ratios of 3:1, 1:1 or 0.3:1 are shown for SKOV3, HCC1954 or N87, respectively
- AB-201 or CB-NK were stimulated for 24 hours with tumor cell lines at a 1:1 E:T ratio. Following stimulation, degranulation (CD107a)/cytokine secretion and soluble cytokine levels (ELISA) were measured. Data are plotted as mean \pm SEM.

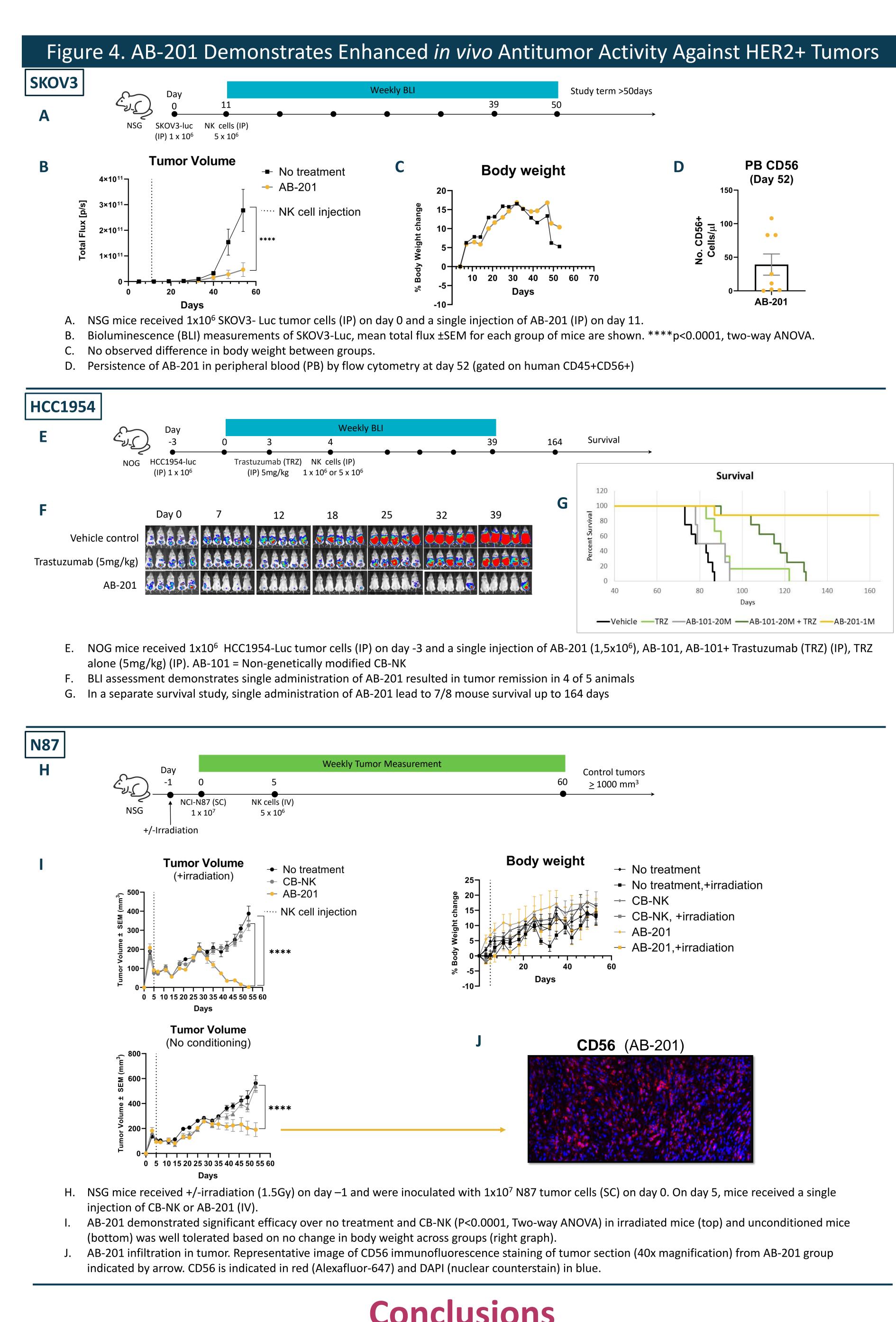


Cytotoxicity of primary cells (non-tumor) was measured following co-culture of AB-201 or control CB-NK cells with pulmonary artery endothelial cells, keratinocytes, renal epithelial cells, cardiac myocytes and small airway epithelial cells for 4 hours at Effector: Target (E:T) ratios of 3:1, 1:1, or 0.3:1.

K562 SKOV3 HCC1954 N87 No targe

Figure 3. No HER2-dependent Cytotoxicity was Observed with AB-201 on Primary Cells





These preclinical findings suggest that AB-201, a highly scaled, cryopreserved HER2directed CAR NK cell product, has potential to be an effective therapy in the treatment of HER2+ tumors.



Conclusions

References

1. Oh, DY., Bang, YJ. HER2-targeted therapies — a role beyond breast cancer. *Nat Rev Clin Oncol* **17**, 33–48 (2020).